

Summer Stock Challenge

BROKERAGE ACCOUNT CHECKLIST

Goal: Retirement	Brokerage Firm: ABC Firm, Inc.		Account Type: ROTH IRA
	Yes	No	Notes
Minimum amount to open an account	X		\$50
Annual maintenance or custodial fee		X	\$50 if no activity for 12 months
Easy to transfer funds in and out of account	X		Funds will transfer in 3 business days
Trading commissions	X		Online -\$7.95; Phone - \$35.00
Fee to transfer account to another firm	X		\$75
Support if I need help	X		Live Chat & Phone 8am-8pm EST; Email
Special Offers	X		30 Free Trades; Refer a Friend for \$50
Other			

Goal:	Brokerage Firm:		Account Type:
	Yes	No	Notes
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Annual maintenance or custodial fee			
Easy to transfer funds in and out of account			
Trading commissions			
Fee to transfer account to another firm			
Support if I need help			
Special Offers			
Other			

The materials for this training/challenge are for educational and informational purposes. These materials do not constitute a recommendation to engage in any particular securities transaction.

Investment products are: Not FDIC Insured | Not guaranteed by the bank | May lose value